



09-15-03 Image

1764

PATENT  
030403-1 (formerly T268.12-0048)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit: 1764 :  
Examiner: :  
In re application of: : VIAL HANDLING SYSTEM WITH IMPROVED  
· Schmidt et al. : MIXING MECHANISM  
Serial No.: 09/803,405 :  
Filing Date: March 9, 2001 :  
: :  
: :

INFORMATION DISCLOSURE STATEMENT

September 12, 2003

Commissioner for Patents  
P.O. Box 1450  
· Alexandria, VA 22313-1450

Dear Commissioner:

Applicants, in accordance with the duty of disclosure pursuant to 37 C.F.R. § 1.56, hereby advise the United States Patent and Trademark Office of the references listed on the accompanying Form PTO/SB/08 *Information Disclosure Statement by Applicant* (substitute for Form 1449A/PTO). A copy of each of the cited references is herewith enclosed.

Express Mail Number ER524410202US

Date of Deposit September 12, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

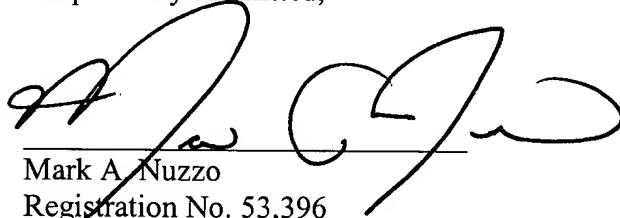
Patricia G. Mach

Applicants note that although the cited references may be relevant to the examination of the above-referenced application, under 37 C.F.R. § 1.97(h), the filing of this *Information Disclosure Statement by Applicant* "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)."

Applicants further note that the filing of this *Information Disclosure Statement by Applicant* is not an admission that the references cited herein constitute prior art under 35 U.S.C. §§ 102-103 with respect to the captioned application.

Pursuant to 37 C.F.R. § 1.97(b)(3), Applicants submit that no fee is necessary for consideration of this *Information Disclosure Statement by Applicant*. Nevertheless, the Commissioner is hereby authorized to charge any additionally required fees deemed necessary for consideration of this *Information Disclosure Statement by Applicant* to Account No. 11-1110.

Respectfully submitted,



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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2 Attorney Docket Number 030403-1 (T268.12-0048)

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
Application Number		09/803,405			
Filing Date		March 9, 2001			
First Named Inventor		Schmidt			
Art Unit		1764			
Examiner Name					
Sheet	1	of	2	Attorney Docket Number	
030403-1 (T268.12-0048)					

## U.S. PATENT DOCUMENTS

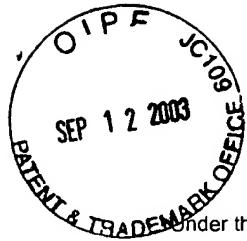
## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	09/803,405
<i>(use as many sheets as necessary)</i>				Filing Date	March 9, 2001
Sheet 2 of 2				First Named Inventor	Schmidt
				Art Unit	1764
				Examiner Name	
				Attorney Docket Number	030403-1 (T268.12-0048)

<b>NON PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		United States Patent Application No. 09/803,407 filed March 9, 2001. (Attorney Docket No. 030403-2)	
		United States Patent Application No. 09/803,412 filed March 9, 2001. (Attorney Docket No. 030403-3)	
		United States Patent Application No. 09/803,414 filed March 9, 2001. (Attorney Docket No. 030403-4)	
		United States Patent Application No. 09/803,721 filed March 9, 2001. (Attorney Docket No. 030403-5)	

Examiner Signature	Date Considered
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